

By fax and mail:
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**NEDERLANDSCH
OCTROOIBUREAU**
EUROPEAN PATENT & TRADEMARK ATTORNEYS

Vigie Admin
10/510119

DT04 Rec'd PCT/PTO 0 4 OCT 2004

W.I.P.O.
P.O. Box 18
CH 1211 Geneva 20
Switzerland

Your ref.
Our ref. P207556PCT BSW/ja

The Hague, 2 September 2004

Re: International Patent Application No. PCT/NL03/00254
Applicant: Leiden University Medical Center

Dear Madam, Sir,

With reference to Rule 92bis.1(a)(ii), the applicant herewith request deletion of Robert S. Mittler as an inventor and for the US inventor/applicant form the above-identified International Application.

The reason for this deletion is that Mr. Mittler contributions as inventor relate solely to those aspects of the invention that concern the 4-1BB molecule and the therapeutic use of agonists of this molecule. However, during preliminary examination the applicant has amended the claims to overcome novelty and inventive step objections based inter alia on D1 (Melief et al., 2000, Adv. Immunol. 75: 235-282.) and based on the disclosures concerning the use of agonists of the 4-1BB molecule for anti-tumor therapy in D3 (Melero et al 1997, Nature Med 3, 682). As a result of these amendments, the present claims on which the IPER is based, no longer relate to the agonists of the 4-1BB molecule but instead relate exclusively to the anti-CD40 mono-therapy aspect of the application, which is inter alia based on the experiments in Example 12 of the application.

Since Mr Mittler did not contribute to these experiments or in any other way to this aspect of the invention his mention as an inventor would no longer be appropriate. The applicant therefore requests his deletion as an inventor and inventor/applicant from the above-identified International Application.

The representative,


Bart Swinkels

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IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

Linda DIEHL,
Geertje VAN MIERLO,
Cornelis J. M. MELIEF,
Rene TOES
and Rienk OFFRINGA

0470-045183

PCT/NL03/00254

ENTITLED

"INDUCTION OF ANTI-TUMOR CTL IMMUNITY THROUGH
IN VIVO TRIGGERING OF 4-1BB AND/OR CD40"

To MAIL STOP PCT

Attention: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE"Express Mail" Label Number EV534966873USDate of Deposit October 4, 2004I hereby certify that the following attached papers or fees

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/
ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER
35 U.S.C. 371 (original and two (2) copies) and check in amount
of \$990.00 for filing fee and assignment recording fee
DECLARATION AND POWER OF ATTORNEY (4 pp.)
PRELIMINARY AMENDMENT with ABSTRACT
WO 03/084999 – FRONT PAGE with ABSTRACT, SPECIFICATION,
CLAIMS, DRAWINGS and SEARCH REPORT (63 pp.)
LETTER to WIPO withdrawing ROBERT S. MITTLER as an inventor (1 p.)
RECORDATION FORM COVER SHEET (1 p.) with ASSIGNMENT (3 pp.)**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Nora Ann Pastrick

(Typed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 10-95) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 470-045183
U.S. APPLICATION NO. (If available, see 37 CFR 1.52)		10/510119
INTERNATIONAL APPLICATION NO. PCT/NL03/00254	INTERNATIONAL FILING DATE 04.04.03 (April 4, 2003)	PRIORITY DATES CLAIMED 04.04.02 (April 4, 2002)
TITLE OF INVENTION Assignee: LEIDEN UNIVERSITY MEDICAL CENTER INDUCTION OF ANTI-TUMOR CTL IMMUNITY THROUGH IN VIVO TRIGGERING OF 4-1BB AND/OR CD40		
APPLICANT(S) FOR DO/EO/US Linda DIEHL, Geertje VAN MIERLO, Cornelis J.M. MELIEF, Rene TOES and Rienk OFFRINGA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items And other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11. to 16. Below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <div style="margin-left: 20px;"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. </div> 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. WO 03/084999 Front Page with Abstract, Specification, Claims, Drawings and Search Report (63 pp.) b. Letter to WIPO withdrawing Robert S. Mittler as an inventor 		

U.S. APPLICATION NO. (USPTO sec 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/510119</div>		INTERNATIONAL APPLICATION NO. PCT/NL03/00254		ATTORNEY'S DOCKET NUMBER 470-045183	
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17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... \$950.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$750.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) But international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$790.00 Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1110.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) And all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00	CALCULATIONS PTO USE ONLY																					
ENTER APPROPRIATE BASIC FEE AMOUNT =	\$ 950.00																					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 0.00																					
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td style="text-align: center;">14 - 20 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X \$18.00</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">1 - 3 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X \$86.00</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: center;">+ \$290.00</td> <td style="text-align: right;">\$ 0.00</td> </tr> </tbody> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	14 - 20 =	0	X \$18.00	\$ 0.00	Independent claims	1 - 3 =	0	X \$86.00	\$ 0.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$ 0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																			
Total claims	14 - 20 =	0	X \$18.00	\$ 0.00																		
Independent claims	1 - 3 =	0	X \$86.00	\$ 0.00																		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$ 0.00																		
TOTAL OF ABOVE CALCULATIONS =	\$ 950.00																					
Reduction of 1/2 for filing by small entity, if applicable.	\$ 950.00																					
SUBTOTAL =	\$ 950.00																					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +	\$ 0.00																					
TOTAL NATIONAL FEE =	\$ 950.00																					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	\$ 40.00																					
TOTAL FEES ENCLOSED =	\$ 990.00																					
	Amount to be: Refunded \$																					
	Charged \$																					

a. ☒ A check in the amount of \$ 990.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0650. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
 William H. Logsdon
 700 Koppers Building
 436 Seventh Avenue
 Pittsburgh, Pennsylvania 15219-1818
 Telephone: (412) 471-8815
 Facsimile: (412) 471-4094

SIGNATURE
 William H. Logsdon
 NAME
 22,132
 REGISTRATION NUMBER